

## VENTORSHIP DECLARATION BY JOINT INVENTORS

#### I HEREBY DECLARE THAT:

My residence, mailing address, and citizenship are stated next to my name in PART A on hereof.

I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### HALOGENATED TRIPTOLIDE DERIVATIVES AS IMMUNOMODULATORS AND ANTICANCER AGENTS

| the specification of wh | :  |    |
|-------------------------|--|----|
|                         | is attached hereto.  |    |
|                         | was filed on February 24, 2004 as Application Serial N 10/786,663. | Ο. |

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed in PART B hereof and have also identified in PART B on hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code §119(e) and §120, of any United States application(s) listed in PART C hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application and any national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to LeeAnn Gorthey at (650) 838-4403. Address all correspondence to:

Perkins Coie LLP P.O. Box 2168 Menlo Park, CA 94026 Customer No. 22918

**Status** 

**Abandoned** 

## **INVENTORSHIP DECLARATION BY JOINT INVENTORS**

PART A: INVENTOR INFORMATION AND SIGNATURE

Serial No.

60/449,976

Full name of FIRST inventor: Dongcheng Dai Citizenship: PRC **Mailing Address:** 400 Ortega Avenue, #316 Mountain View, CA 94040 Residence (if different): Inventor's Signature: Full name of SECOND inventor: John H. Musser Citizenship: US 71 Loma Road **Mailing Address:** San Carlos, CA 94070 Residence (if different): Inventor's Signature: Date: INVENTORSHIP DECLARATION BY SOLE INVENTOR PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d) Country App. No. Filing Date PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120

Filing Date

February 25, 2003





IN RE APPLICATION OF: Dai et al.

**APPLICATION No.: 10/786,663** 

FILED: February 24, 2004

FOR: HALOGENATED TRIPTOLIDE DERIVATIVES AS

IMMUNOMODULATORS AND ANTICANCER AGENTSI

**EXAMINER: UNKNOWN** 

**ART UNIT: 1614** 

CONF. No: 2986

# Power of Attorney by Assignee and Certification Under 37 CFR § 3.73(b)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, the undersigned, acting on behalf of the Assignee of the entire right, title and interest in the above-identified patent application, by virtue of an Assignment filed concurrently herewith, appoint the attorneys and agents listed below to prosecute this application and transact all business with the U.S. Patent and Trademark Office in connection therewith. This appointment is to the exclusion of the inventor(s) and their attorney(s) and agent(s) in accordance with the provisions of 37 C.F.R. § 3.71.

All prior powers of attorney for this application are hereby revoked. The Assignee hereby appoints

STEPHEN E. ARNETT, Registration No. 47,392
RODGER K. CARREYN, Registration No. 50,774
MAY Y. CHAN, Registration No. 51,053
BRIAN R. COLEMAN, Registration No. 39,145
CHRISTOPHER DALEY-WATSON, Registration No. 34,807
PETER J. DEHLINGER, Registration No. 28,006
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DAVID BOGART DORT, Registration No. 50,213
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Menlo Park, California 94026

In accordance with 37 C.F.R. § 3.73(b), I hereby certify that I am empowered to act on behalf of the Assignee. To the best of my knowledge and belief, title is in the Assignee, as evidenced by the Assignment noted above.

I further declare that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, USC § 1001 and that such willful false statements may jeopardize the validity of this application or any patent resulting therefrom.

| ASSIGNEE:   | Pharmagenesis, Ihc.                    |
|-------------|--|
| Olement     | and H. Man                             |
| Signature:  | - John M. Mar                          |
| Typed Name: | John H. Musser                         |
| Title:      | 000                                    |
| Date:       | July 5, 2004                           |
| Address:    | 3183 Porter Drive, Palo Alto, CA 94304 |

JUL 1 6 2004

Form 1595 1-31-92 (modified)

RECORDATION FORM COVER SHEET

U.S. Department of Commerce Patent and Trademark Office

|   | PATENTS  | ONLY Attorney Docket No. 57070-8018.US00  |  |  |
|---|--|---|--|--|
|   | To the Honorable Assistant Commissione attached original docume  |   |  |  |
| 1.                                      | Name of conveying party(ies): Dongcheng Dai John H. Musser  Add'l names of conveying parties attached?                                     | <pre>2. Name/address of receiving     party(ies): Pharmagenesis, Inc. 3183 Porter Drive</pre>   |  |  |
|   | ☐ Yes ⊠ No   | Palo Alto, CA 94304   |  |  |
| 4.                                      | Nature of conveyance:  ☐ Assignment ☐ Merger ☐ Security Agreement ☐ Other ☐ Change of Name ☐ Reassignment  Date of execution: June 3, 2004 | Add'l names of receiving parties attached? ☐ Yes ☑ No   |  |  |
|   | bace of execution. June 3, 2004  |   |  |  |
| 5.                                      | Application number(s) and/or patent  If this document is being file with signature by the first named inventor as                          | new application, the date of  |  |  |
|   | A. Patent Application No.(s)<br>10/786,663 filed 02/24/2004  | B. Patent No.(s)  |  |  |
| Additional numbers attached: □ Yes ⋈ No |  |   |  |  |
| 6.                                      | Name and address of party to whom correspondence concerning document should be mailed:   | 7. Total No. of applications and patents involved: one (1)  |  |  |
|   | Perkins Coie LLP P.O. Box 2168 Menlo Park, CA 94026 (650) 838-4300 Customer No. 22918  | 8. Total fee (37 CFR §3.41): \$\frac{\$40.00}{}\$  \times \text{Enclosed is check for \$40.00.} The some issioner is hereby authorized to hard any underpayment in fees to Deposit Account No. 50-2207. |  |  |
|   |  | 9. Total number of pages, including cover sheet, attachments and document: 5  |  |  |
| DO NOT USE THIS SPACE                   |  |   |  |  |
| 10.                                     | Statement and signature:   |   |  |  |
|   | To the best of my knowledge and information is true and correct copy of the original document.   |   |  |  |
| Nam                                     | LeeAnn Gorthey  me of Person Signing  Signing  | gnature 7-13-04  Date   |  |  |